

PTO/SB/17 (10-03)
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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1110

Complete if Known

Application Number 09/29,985
Filing Date 08/14/2001
First Named Inventor James K. Mainquist
Examiner Name SODERQUIST, ARLEN
Art Unit 3856
Attorney Docket No. P1013US10

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit
Account
Number

60-1885

Deposit
Account
Name

Genomics Institute of the Novartis Research
Foundation

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		1001	770	Utility filing fee	
		1002	340	Design filing fee	
		1003	530	Plant filing fee	
		1004	770	Reissue filing fee	
		1005	160	Provisional filing fee	

SUBTOTAL (1)

(\$) 770

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	0	0	0
Multiple Dependent			0

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
1202	501	0992	985	Claims in excess of 20
1203	88	2201	43	Independent claims in excess of 3
1204	260	2203	145	Multiple dependent claim, if not paid
1204	88	2204	43	Reissue independent claims over original patent
1206	18	2205	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) 0

*or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1061	130	2061	85	Surcharge - late filing fee or oath	
1062	80	2062	25	Surcharge - late provisional filing fee or cover sheet	
1063	130	1053	130	Non-English specification	
1812	2,620	1812	2,620	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of ISR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of ISR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	850	2253	475	Extension for reply within third month	950
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	280	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (c)	
1808	180	1808	180	Submission of Information Disclosure Sheet	
8021	40	8021	40	Recording each patent assignment per property (limits number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.128(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.128(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) Additional claim fee: 2 additional independent claims

150

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 1110

SUBMITTED BY

Name (Print/Type)	Timothy L. Smith, Ph.D.	Registration No. (Attorney/Agent)	35,367	Telephone	858-812-1547
Signature				Date	September 9, 2004

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JFK